

On the Derivative of a Polynomial

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ABSTRACT: For an arbitrary polynomial $P(z)$, let $M(P, r) = \max_{|z|=r} |P(z)|$ and $m(P, r) = \min_{|z|=r} |P(z)|$, ($r > 0$). For a polynomial $p(z) = \sum_{j=0}^n a_j z^j = a_n \prod_{\nu=1}^n (z - z_\nu)$, of degree n , having all its zeros in $|z| \leq k$, ($k \geq 1$), with a zero of order s , ($s \geq 0$), at 0 and

$F_0, F_1, F_2, G_{n-s}, F_3, F_4, H_{n-s}, F_{n-s}, B_0, B_1, E_{n-1}, B_2, B_3, D_{n-1}$ and B_{n-1} ,

as in Theorem, we have obtained a refinement

$$\begin{aligned} M(p', 1) &\geq \frac{2}{1 + k^{n-s}} \left(\sum_{\nu=1}^n \frac{k}{k + |z_\nu|} \right) M(p, 1) \\ &+ \frac{k^{n-s} - 1}{k^n (1 + k^{n-s})} \left(\sum_{\nu=1}^n \frac{k}{k + |z_\nu|} \right) m(p, k) \\ &+ \frac{2}{k^{n-s} (1 + k^{n-s})} \left(\sum_{\nu=1}^n \frac{k}{k + |z_\nu|} \right) F_{n-s} + \frac{B_{n-1}}{k^{n-1}}, \end{aligned}$$

of our old result (1997), thereby obtaining a new refinement of known results

$$M(p', 1) \geq \frac{n}{1 + k^n} M(p, 1), (1973)$$

and

$$M(p', 1) \geq \frac{2}{1 + k^n} \left(\sum_{\nu=1}^n \frac{k}{k + |z_\nu|} \right) M(p, 1), (1983).$$

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1. Introduction and statement of result

For an arbitrary polynomial $P(z)$, let $M(P, r) = \max_{|z|=r} |P(z)|$ and $m(P, r) = \min_{|z|=r} |P(z)|$, ($r > 0$). For a given polynomial $p(z)$, concerning the estimate of $|p'(z)|$ on $|z| \leq 1$, we have the following well-known result due to Turán [9], suggesting a lower bound for $M(p', 1)$.

Theorem A. *If $p(z)$ is a polynomial of degree n , having all its zeros in $|z| \leq 1$ then*

$$M(p', 1) \geq \frac{n}{2} M(p, 1).$$

The result is sharp with equality for the polynomial $p(z)$ having all its zeros on $|z| = 1$.

Malik [8] obtained a generalization of Theorem A, namely

Theorem B. *If $p(z)$ is a polynomial of degree n , having all its zeros in $|z| \leq k$, ($k \leq 1$) then*

$$M(p', 1) \geq \frac{n}{1+k} M(p, 1).$$

The result is sharp with equality for the polynomial $p(z) = (z+k)^n$,

and Govil [4] obtained the generalization

Theorem C. *If $p(z)$ is a polynomial of degree n , having all its zeros in $|z| \leq k$, ($k \geq 1$) then*

$$M(p', 1) \geq \frac{n}{1+k^n} M(p, 1).$$

The result is sharp with equality for the polynomial $p(z) = z^n + k^n$.

Aziz [1] obtained a refinement of Theorem C in the form

Theorem D. *If all the zeros of the polynomial $p(z) = a_n \prod_{j=1}^n (z - z_j)$, of degree n lie in $|z| \leq k$, ($k \geq 1$) then*

$$M(p', 1) \geq \frac{2}{1+k^n} \left(\sum_{j=1}^n \frac{k}{k+|z_j|} \right) M(p, 1).$$

The result is best possible with equality for the polynomial $p(z) = z^n + k^n$,

which was further refined by Govil [5] to give

Theorem E. *Let $p(z) = \sum_{j=0}^n a_j z^j = a_n \prod_{t=1}^n (z - z_t)$, be a polynomial of degree $n \geq 2$, $|z_t| \leq K_t$, $1 \leq t \leq n$ and let $K = \max(K_1, K_2, \dots, K_n) \geq 1$. Then*

$$M(p', 1) \geq \frac{2}{1+K^n} \left(\sum_{t=1}^n \frac{K}{K+K_t} \right) M(p, 1) + \frac{2|a_{n-1}|}{1+K^n} \left(\sum_{t=1}^n \frac{1}{K+K_t} \right) \left(\frac{K^n-1}{n} - \frac{K^{n-2}-1}{n-2} \right) + |a_1| \left(1 - \frac{1}{K^2} \right), n > 2$$

and

$$M(p', 1) \geq \frac{2}{1 + K^n} \left(\sum_{t=1}^n \frac{K}{K + K_t} \right) M(p, 1) + \frac{(K-1)^n}{1 + K^n} |a_1| \left(\sum_{t=1}^n \frac{1}{K + K_t} \right) + |a_1| \left(1 - \frac{1}{K} \right), \quad n = 2.$$

The result is best possible with equality for the polynomial $p(z) = z^n + K^n$.

We, in our old result [6], had considered the polynomial having all its zeros in $|z| \leq k$, ($k \geq 1$), with a possible zero of order m , ($m \geq 0$), at 0 and had obtained the following refinement of both Theorem C and Theorem D.

Theorem F. Let $p(z) = \sum_{s=0}^n a_s z^s = a_n \prod_{\gamma=1}^n (z - z_\gamma)$ be a polynomial of degree n , having all its zeros in $|z| \leq k$, ($k \geq 1$). Then

$$M(p', 1) \geq \frac{2}{1 + k^{n-m}} \left(\sum_{\gamma=1}^n \frac{k}{k + |z_\gamma|} \right) M(p, 1) + \frac{C}{k(1 + k^{n-m})} \left(\sum_{\gamma=1}^n \frac{1}{k + |z_\gamma|} \right) + D, \quad (1.1)$$

where

$$p(z) = z^m p_1(z), \quad \text{with } p_1(0) \neq 0, \quad \text{for some non-negative integer } m,$$

non-negative real number

$$C = \begin{cases} 4|a_{n-2}| \left\{ c_{n-m-2}(k) - c_{n-m-4}(k) - \left(\frac{k^{n-m-1}-1}{n-m-1} - \frac{k^{n-m-3}-1}{n-m-3} \right) \right\} & , n > 4 \text{ \& } 0 \leq m < n-4, \\ 4|a_{n-2}| \left\{ D_k - \left(\frac{k^3-1}{3} - \frac{k^2-1}{2} \right) \right\} & , n \geq 4 \text{ \& } m = n-4, \\ 4|a_{n-2}| \left\{ F_k - \frac{k^2-1}{2} \right\} & , n \geq 3 \text{ \& } m = n-3, \\ |a_{n-1}| k (k-1)^2 & , n > 2 \text{ \& } m = n-2, \\ (|a_n| k - |a_{n-1}|) k (k-1) & , n \geq 1 \text{ \& } m = n-1, \\ 0 & , n \geq 1 \text{ \& } m = n, \end{cases}$$

non-negative real number

$$D = \begin{cases} 2|a_2| \left(\frac{1}{k} - \frac{1}{k^3} \right) (\sqrt{k^2+1} - 1) & , n > 4 \text{ \& } m \leq n-1, \\ 2|a_2| \left(\frac{1}{k} - \frac{1}{k^2} \right) (\sqrt{k^2+k+1} - 1) & , n = 4 \text{ \& } m \leq n-1, \\ \frac{2|a_2|}{k} \left(\sqrt{\frac{k^2+1}{2}} - 1 \right) & , n = 3 \text{ \& } m \leq n-1, \\ |a_1| \left(1 - \frac{1}{k} \right) & , n = 2 \text{ \& } 0 < m \leq n-1, \\ 0 & , n > 1 \text{ \& } m = n, \\ 0 & , n = 1, \end{cases}$$

$$c_t(k) = \int_1^k r^t \sqrt{r^2 + 1} dr, t > 0,$$

$$D_k = \int_1^k (r^2 - r) \sqrt{r^2 + r + 1} dr$$

and

$$F_k = \int_1^k r \sqrt{\frac{r^2 + 1}{2}} dr.$$

In (1.1) equality holds for the polynomial $p(z) = z^n + k^n$.

In this paper we have obtained a refinement of our old result, namely Theorem F, thereby obtaining a new refinement of Theorem C and Theorem D. More precisely we have proved

Theorem. Let $p(z) = \sum_{j=0}^n a_j z^j = a_n \prod_{\nu=1}^n (z - z_\nu)$ be a polynomial of degree n , having all its zeros in $|z| \leq k$, ($k \geq 1$), with a zero of order s , ($s \geq 0$), at 0. Then

$$M(p', 1) \geq \frac{2}{1 + k^{n-s}} \left(\sum_{\nu=1}^n \frac{k}{k + |z_\nu|} \right) M(p, 1) + \frac{k^{n-s} - 1}{k^n(1 + k^{n-s})} \left(\sum_{\nu=1}^n \frac{k}{k + |z_\nu|} \right) m(p, k)$$

$$+ \frac{2}{k^{n-s}(k^{n-s} + 1)} \left(\sum_{\nu=1}^n \frac{k}{k + |z_\nu|} \right) F_{n-s} + \frac{B_{n-1}}{k^{n-1}}, \quad (1.2)$$

where

$$B_0 = 0,$$

$$B_1 = (k - 1)|a_1|,$$

$$B_2 = \max \left(E_2|a_1|, 2|a_2|k \left(\sqrt{\frac{k^2 + 1}{2}} - 1 \right) \right),$$

$$B_3 = \max \left(E_3|a_1|, 2|a_2|(k^2 - k) \left(\sqrt{k^2 + k + 1} - 1 \right) \right),$$

$$B_{n-1} = \max \left(E_{n-1}|a_1|, 2|a_2|D_{n-1} \right), n - 1 \geq 4,$$

$$E_{n-1} = k^{n-1} - k^{n-3}, n - 1 \geq 2,$$

$$D_{n-1} = \left(k^{n-2} - k^{n-4} \right) \left(\sqrt{k^2 + 1} - 1 \right), n - 1 \geq 4,$$

$$F_0 = 0,$$

$$F_1 = 0,$$

$$F_2 = |a_{n-1}|k \frac{(k - 1)^2}{2},$$

$$\begin{aligned}
 F_3 &= \max \left(k^2 |a_{n-1}| G_3, 2k |a_{n-2}| \left(\int_1^k r \sqrt{\frac{r^2+1}{2}} dr - \frac{k^2-1}{2} \right) \right), \\
 F_4 &= \max \left(k^3 |a_{n-1}| G_4, 2k^2 |a_{n-2}| \left(\int_1^k (r^2-r) \sqrt{r^2+r+1} dr - \left(\frac{k^3-1}{3} - \frac{k^2-1}{2} \right) \right) \right), \\
 F_{n-s} &= \max \left(k^{n-s-1} |a_{n-1}| G_{n-s}, 2k^{n-s-2} |a_{n-2}| H_{n-s} \right), \quad n-s \geq 5, \\
 G_{n-s} &= \frac{k^{n-s}-1}{n-s} - \frac{k^{n-s-2}-1}{n-s-2}, \quad n-s \geq 3 \\
 \text{and} \\
 H_{n-s} &= \int_1^k r^{n-s-2} \sqrt{r^2+1} dr - \int_1^k r^{n-s-4} \sqrt{r^2+1} dr - \left(\frac{k^{n-s-1}-1}{n-s-1} - \frac{k^{n-s-3}-1}{n-s-3} \right), \\
 &\quad n-s \geq 5.
 \end{aligned}$$

In (1.2) equality holds for the polynomial $p(z) = z^n + k^n$.

Remark 1. In many cases, our Theorem gives a better lower bound for $M(p', 1)$ than those given by other known results, as for the polynomial $p(z) = z(z^3 + 8)(z + 3)$, having all its zeros in $|z| \leq 3$, we get

$$\begin{aligned}
 M(p', 1) &\geq 25.5, \text{ by Theorem,} \\
 M(p', 1) &\geq 13.1, \text{ by Theorem F,} \\
 M(p', 1) &\geq 23.4, \text{ by Theorem E}
 \end{aligned}$$

and

$$M(p', 1) \geq 5.8, \text{ by result [7, Theorem 1.7].}$$

2. Lemmas

For the proof of Theorem we require the following lemmas.

Lemma 1. *If $p(z)$ is a polynomial of degree $n(\geq 2)$ then for all $R > 1$*

$$M(p, R) \leq R^n M(p, 1) - (R^n - R^{n-2}) |p(0)|.$$

Lemma 1 is due to Frappier et al. [3, Theorem 2].

Lemma 2. *Let $p(z)$ be a polynomial of degree $n(\geq 2)$ and let $R \geq 1$. Then*

$$\begin{aligned}
 M(p, R) &\leq R^n M(p, 1) - |p'(0)| (R^{n-1} - R^{n-3}) (\sqrt{R^2+1} - 1), \quad n \geq 4, \\
 M(p, R) &\leq R^n M(p, 1) - |p'(0)| (R^2 - R) (\sqrt{R^2+R+1} - 1), \quad n = 3
 \end{aligned}$$

and

$$M(p, R) \leq R^n M(p, 1) - |p'(0)| R \left(\sqrt{\frac{R^2+1}{2}} - 1 \right), \quad n = 2.$$

Lemma 2 is due to Frappier et al. [3, Theorem 4].
Using Lemma 1 and Lemma 2 one easily obtains

Lemma 3. *If $p(z)$ is a polynomial of degree n then for $R \geq 1$*

$$M(p, R) \leq R^n M(p, 1) - B_n(p, R),$$

where

$$\begin{aligned} B_1(p, R) &= (R-1)|p(0)|, \\ B_2(p, R) &= \max(E_2(R)|p(0)|, R\left(\sqrt{\frac{R^2+1}{2}}-1\right)|p'(0)|), \\ B_3(p, R) &= \max(E_3(R)|p(0)|, (R^2-R)\left(\sqrt{R^2+R+1}-1\right)|p'(0)|), \\ B_n(p, R) &= \max(E_n(R)|p(0)|, D_n(R)|p'(0)|), \quad n \geq 4, \\ E_n(R) &= R^n - R^{n-2}, \quad n \geq 2 \end{aligned}$$

and

$$D_n(R) = (R^{n-1} - R^{n-3})(\sqrt{R^2+1}-1), \quad n \geq 4.$$

Remark 2. One can note that Lemma 3 is trivially true for $n = 0$, with $B_0(p, R) = 0$.

Lemma 4. *If $p(z)$ is a polynomial of degree n , having no zeros in $|z| < 1$ then*

$$M(p', 1) \leq \frac{n}{2} \{M(p, 1) - m(p, 1)\}. \quad (2.1)$$

There is equality in (2.1) for $p(z) = \alpha + \beta z^n$, $|\alpha| = |\beta|$.

Lemma 4 is due to Aziz and Dawood [2].

Lemma 5. *If $p(z) = \sum_{j=0}^n a_j z^j$ is a polynomial of degree $n > 2$, having no zeros in $|z| < 1$ then for $R \geq 1$*

$$M(p, R) \leq \frac{R^n+1}{2} M(p, 1) - m(p, 1) \frac{R^n-1}{2} - |a_1| \left(\frac{R^n-1}{n} - \frac{R^{n-2}-1}{n-2} \right). \quad (2.2)$$

Equality holds in (2.2) for $p(z) = z^n + 1$.

Proof of Lemma 5. It is similar to the proof of Lemma 4 [5] with one change: Lemma 4 instead of Lemma 2 [5].

Lemma 6. *If $p(z)$ is a polynomial of degree $n > 4$, having no zeros in $|z| < 1$ then for $R \geq 1$*

$$\begin{aligned} M(p, R) \leq & \frac{R^n+1}{2} M(p, 1) - \frac{R^n-1}{2} m(p, 1) - |p''(0)| \{c_{n-2}(R) - c_{n-4}(R) \\ & - \left(\frac{R^{n-1}-1}{n-1} - \frac{R^{n-3}-1}{n-3} \right)\}, \end{aligned} \quad (2.3)$$

where

$$c_t(R) = \int_1^R r^t \sqrt{r^2 + 1} dr, t > 0.$$

There is equality in (2.3) for $p(z) = \alpha + \beta z^n$, $|\alpha| = |\beta|$.

Proof of Lemma 6. It is similar to the proof of Lemma 4 [6] with one change: Lemma 4 instead of Lemma 2 [6].

Lemma 7. *If $p(z)$ is a polynomial of degree $n = 4$, having no zeros in $|z| < 1$ then for $R \geq 1$*

$$M(p, R) \leq \frac{R^n + 1}{2} M(p, 1) - \frac{R^n - 1}{2} m(p, 1) - |p''(0)| \left\{ D_R - \left(\frac{R^3 - 1}{3} - \frac{R^2 - 1}{2} \right) \right\}, \quad (2.4)$$

where

$$D_R = \int_1^R (r^2 - r) \sqrt{r^2 + r + 1} dr.$$

There is equality in (2.4) for $p(z) = \alpha + \beta z^n$, $|\alpha| = |\beta|$.

Proof of Lemma 7. It is similar to the proof of Lemma 5 [6] with one change: Lemma 4 instead of Lemma 2 [6].

Lemma 8. *If $p(z)$ is a polynomial of degree $n = 3$, having no zeros in $|z| < 1$ then for $R \geq 1$*

$$M(p, R) \leq \frac{R^n + 1}{2} M(p, 1) - \frac{R^n - 1}{2} m(p, 1) - |p''(0)| \left(F_R - \frac{R^2 - 1}{2} \right), \quad (2.5)$$

where

$$F_R = \int_1^R r \sqrt{\frac{r^2 + 1}{2}} dr.$$

There is equality in (2.5) for $p(z) = \alpha + \beta z^n$, $|\alpha| = |\beta|$.

Proof of Lemma 8. It is similar to the proof of Lemma 6 [6] with one change: Lemma 4 instead of Lemma 2 [6].

Lemma 9. *If $p(z)$ is a polynomial of degree $n = 2$, having no zeros in $|z| < 1$ then for $R \geq 1$*

$$M(p, R) \leq \frac{R^n + 1}{2} M(p, 1) - \frac{R^n - 1}{2} m(p, 1) - |p'(0)| \frac{(R - 1)^2}{2}. \quad (2.6)$$

There is equality in (2.6) for $p(z) = \alpha + \beta z^n$, $|\alpha| = |\beta|$.

Proof of Lemma 9. It is similar to the proof of Lemma 8 [6] with one change: Lemma 4 instead of Lemma 2 [6].

Using Lemma 5, Lemma 6, Lemma 7, Lemma 8 and Lemma 9 one easily obtains

Lemma 10. *If $p(z)$ is a polynomial of degree n , having no zeros in $|z| < 1$ then for $R \geq 1$*

$$M(p, R) \leq \frac{R^n + 1}{2} M(p, 1) - \frac{R^n - 1}{2} m(p, 1) - F_n(p, R), \quad (2.7)$$

where

$$F_1(p, R) = 0,$$

$$F_2(p, R) = |p'(0)| \frac{(R-1)^2}{2},$$

$$F_3(p, R) = \max \left(G_3(R) |p'(0)|, \left(\int_1^R r \sqrt{\frac{r^2+1}{2}} dr - \frac{R^2-1}{2} \right) |p''(0)| \right),$$

$$F_4(p, R) = \max \left(G_4(R) |p'(0)|, \left(\int_1^R (r^2-r) \sqrt{r^2+r+1} dr - \left(\frac{R^3-1}{3} - \frac{R^2-1}{2} \right) \right) |p''(0)| \right),$$

$$F_n(p, R) = \max \left(G_n(R) |p'(0)|, H_n(R) |p''(0)| \right), \quad n \geq 5,$$

$$G_n(R) = \left(\frac{R^n-1}{n} - \frac{R^{n-2}-1}{n-2} \right), \quad n \geq 3$$

and

$$H_n(R) = \int_1^R r^{n-2} \sqrt{r^2+1} dr - \int_1^R r^{n-4} \sqrt{r^2+1} dr - \left(\frac{R^{n-1}-1}{n-1} - \frac{R^{n-3}-1}{n-3} \right), \quad n \geq 5.$$

There is equality in (2.7) for $p(z) = \alpha + \beta z^n$, $|\alpha| = |\beta|$.

Remark 3. One can note that Lemma 10 is trivially true for $n = 0$, with $F_0(p, R) = 0$.

3. Proof of Theorem

It is similar to the main part of Proof of Theorem [6] with two changes:
 Lemma 3 along with Remark 2 instead of Lemma 3 [6],
 Lemma 10 along with Remark 3 instead of Lemma 4 [6].

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